



COMMENT LETTER

October 31, 2025

Comment Letter on the NSCP proposal to establish a Compliance Advisory Committee within the SEC

The Investment Company Institute submitted a comment letter to the Securities and Exchange Commission to express our strong support for the National Society of Compliance Professionals' ("NSCP") proposal to establish a Compliance Advisory Committee within the SEC.

Such a committee would provide the Commission with invaluable practical insight on the vital role of compliance professionals in protecting investors, promoting regulatory effectiveness, and enhancing market integrity.

Read more in the [comment letter](#).

Copyright ©2025 by the Investment Company Institute. All rights reserved. Information may be abridged and therefore incomplete. Communications from the Institute do not constitute, and should not be considered a substitute for, legal advice.